

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Albin Peter Berzinis
Serial Number: To be assigned
Filed: Herewith
Examiner: To be assigned
Group Art Unit: To be assigned
For: IMPACT-MODIFIED COMPOSITIONS AND METHOD

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks
Washington, DC 20231

SIR:

- ☒ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

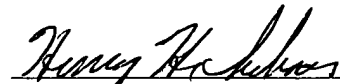
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail in an envelope addressed to: Mail Drop Patent APPLICATIONS, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 30th day of December, 2003.


Colleen P. Brennan

- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch _____, Date of Allowance _____", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No.07-0862**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



Henry H. Gibson
Attorney for Applicants
Registration No. 28,951
Telephone No. (413) 448-4845

General Electric Company
One Plastics Avenue
Pittsfield, MA 01201
December 30, 2003

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)				Attorney's Docket Number 133996-2		Serial Number To be assigned	
				Name of Applicant Berzinis			
				Filing Date Herewith		Group To be assigned	

U.S. PATENT DOCUMENTS													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	3	9	4	4	6	3	1					
	AB	4	6	3	4	7	3	4					
	AC	4	7	8	8	2	5	3					
	AD	5	5	8	0	9	2	4					
	AE	5	9	6	5	6	6	5					
	AF												
	AG												
	AH												
	AI												
	AJ												

FOREIGN PATENT DOCUMENTS										
	Document Number				Date	COUNTRY	Class	Subclass	TRANSLATION YES NO	
	AK									
	AL									
	AM									
	AN									
	AO									
	AP									

OTHER INFORMATION (Including Author, Title, Date, Pertinent)	
	AQ U.S. patent application Serial No. 08/962,458, filed October 31, 1997- 08CQ5741
	AR
	AS

EXAMINER	DATE CONSIDERED
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.